## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<pre>In re application of:      ) A. GALET, et al.      )</pre>	Examiner:
Serial No.: 09/101,601 )	Art Unit:
Filed: January 7, 1997 )	
For: IMPROVEMENT TO AN ) ELECTROACOUSTIC ) COMMUNICATION DEVICE ) FOR USE ON PROTECTIVE ) HEADGEAR )	
Attorney Docket No.: ) GSO 2 017 )	

# 37 C.F.R. 1.27 STATEMENT OF STATUS AS A SMALL BUSINESS ENTITY

Assistant Commissioner For Patents Washington, D.C. 20231

Dear Sir:

The undersigned affirms:

That he is an officer of the assignee GALLET SA, and is empowered to act on behalf of the assignee; the assignment is being filed concurrently herewith for recording;

That the assignee **GALLET SA**, together with all of its affiliates combined had fewer than five hundred (500) employees including full-time, part-time, and temporary employees on the average during each pay period of the previous fiscal year of the assignee and its affiliates; and,

That the assignee has not assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey, or license any rights in the invention to any person who could not be classified as an independent inventor under 37 C.F.R. 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e).

The undersigned acknowledges a duty to file, in this application or patents issuing thereon, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time or paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.

The undersigned further declares statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements like so made are punishable by imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful and false statements may jeopardize the validity of the application or any patent issuing thereon.

#### GALLET SA

By: <u>GALET Adnen</u> Title: <u>Pre sident</u>

Date: July 24 1998



DECLARATION FOR PATENT APPLICATION

As the below-named inventors, we hereby declare that:

Our residences, post office addresses, and citizenships are as stated below next to our names.

We believe we are the original, joint, and first inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

# IMPROVEMENT TO AN ELECTROACOUSTIC COMMUNICATION DEVICE FOR USE ON PROTECTIVE HEADGEAR

the specification of which was filed on and accorded U.S. Application Serial No.

January 7, 1997

09/101,601

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37 Code of Federal Regulations § 1.56(a).

We hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

International (PCT) Application No. PCT/FR97/00041, Filed 9 January 1997 French Patent Application No. 96 00459, Filed 12 January 1996

We hereby claim benefit under Title 35, United States Code § 119(e) of any United States provisional applications listed below:

#### None

We hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

# Declaration and Power of Attorney for Patent Application Déclaration et Pouvoirs pour Demande de Brevet

## French Language Declaration

En tant que l'inventeur nommé ci-après, je déclare par le présent acte que:	As a below named inventor, I hereby declare that:	
Mon domicile, mon adresse postale et ma nationalité sont ceux figurant ci-dessous à côté de mon nom.	My residence, post office address and citizenship are as stated next to my name.	
Je crois être le premier inventeur original et unique (si un seul nom est mentionné ci-dessous), ou l'un des premiers co-inventeurs originaux (si plusieurs noms sont mentionnés ci-dessous) de l'objet revendiqué, pour lequel une demande de brevet a été déposée concernant l'invention intitulée	I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled  IMPROVEMENT TO AN ELECTROACOUSTIC COMMUNICATION DEVICE FOR USE ON PROTECTIVE HEADGEAR	
et dont la description est fournie ci-joint à moins que la case suivante n'ait été cochée:	the specification of which is attached hereto unless the following box is checked:	
	was filed on 10 July 1998	
as United States Application Number International Application Number sous le numéro de demande des Etate numéro de demande international PC and was amended on (i et modifiée le (le	s-Unis ou le T 09/101,601	
Je déclare par le présent acte avoir passé en revue et compris le contenu de la description ci-dessus, revendications comprises.  Je reconnais devoir divulguer toute information pertinente à la brevetabilité, comme défini dans le Titre 37, § 1.56 du Code fédéral des réglementations.	contents of the above identified specification, including the claims.  I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.	
Je revendique par le présent acte avoir la priorité étrangère, en vertu du Titre 35, § 119(a)-(d) ou § 365(b) du Code des Etats-Unis, sur toute demande étrangère de brevet ou certificat d'inventeur ou, en vertu du Titre 35, § 365(a) du même Code, sur toute demande internationale PCT désignant au moins un pays autre que les Etats-Unis et figurant cidessous et, en cochant la case, j'ai aussi indiqué ci-dessous toute demande étrangère de brevet, tout certificat d'inventeur ou toute demande internationale PCT ayant une date de dépôt précédant celle de la demande à propos de laquelle une	I hereby claim foreign priority under Title 35, United States Code, § 119(a)-(d) or § 365 (b) of any foreign application(a) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below, and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.	
priorité est revendiquée.	Priority Not Claimed <u>Proit de priorité non revendiqué</u>	
Prior foreign application(s)  Demande(s) de brevet antérieuro(s)  FR 96 00459 France	12 January 1996	
(Number) (Country) (Number) (Pays) (Pays) (Pays)	(Day/Month/Year Filed) (Jour/Mois/Année de dépôt) 9 January 1997	
(Number) (Country) (Numéro) (Pays)	(Day/Month/Year Filed) (Jour/Mois/Année de dépôt)	
Je revendique par le présent acte tout bénéfice, en vertu du Titre 35, § 119(e) du Code des Etats-Unis, de toute demande de brevet provisoire effectuée aux Etats-Unis et figurant cidessous.	I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.	
(Application No.) (N° de demande)	(Filing Date) (Date de dépôt)	
(Application No.) (N° de demande)	(Filing Date) (Date de dépôt)	

## **Best Available Copy**

Je revendique par le présent acte tout bénéfice, en vertu du Titre 35, § 120 du Code des Etats-Unis, de toute demande de brevet effectuée aux Etats-Unis, ou en vertu du Titre 35, 365(c) du même Code, de toute demande internationale PCT désignant les Etats-Unis et figurant ci-dessous et, dans la mesure où l'objet de chacune des revendications de cette la mesure ou l'objet de chacune des revendications de cette demande de brevet n'est pas divulgué dans la demande antérieure américaine ou internationale PCT, en vertu des dispositions du premier paragraphe du Titre 35, § 112 du Code des Etats-Unis, je reconnais devoir divulguer toute information pertinente à la brevetabilité, comme défini dans le Titre 37, § 1.56 du Code fédéral des réglementations, dont j'ai pu disposer entre la date de dépôt de la demande antérieure et la date de dépôt de la demande nationale ou antérieure et la date de dépôt de la demande nationale ou internationale PCT de la présente demande: PCT/FR9//00041 9 January

(Application No.) (No de demande)

(Filing Date) (Date de dépôt)

(Application No.) (Nº de demande)

(Filing Date) (Date de dépôt)

Je déclare par le présent acte que toute déclaration ci-incluse est, à ma connaissance, véridique et que toute déclaration formulée à partir de renseignements ou de suppositions est tenue pour véridique; et de plus, que toutes ces déclarations ont été formulées en sachant que toute fausse déclaration volontaire ou son équivalent est passible d'une amende ou d'une incarcération, ou des deux, en vertu de la Section 1001 du Titre 18 du Code des Etats-Unis, et que de telles déclarations volontairement fausses risquent de compromettre la validité de la demande de brevet ou du brevet délivré à partir de celle-ci.

POUVOIRS: En tant que l'inventeur cité, je désigne par la présente l'(les) avocat(s) et/ou agent(s) suivant(s) pour qu'ils poursuive(nt) la procédure de cette demande de brevet et traite(nt) toute affaire s'y rapportant avec l'Office des brevets et des marques: (mentionner le nom et le numéro d'once intrement) d'enregistrement).

Send Correspondence to: Adresser toute correspondance à:

Direct Telephone Calls to: (name and telephone number) Adresser tout appel téléphonique à: (nom et numéro de téléphone)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Pending
(Status) (patented, pending, abandoned)
(Statut) (breveté, en cours d'examen, abandonné)

(Status) (patented, pending, abandoned) (Statut) (breveté, en cours d'examen, abandonné)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Table 18 of the United States Code and that such willful false Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number)

Thomas E. Kocovsky, Jr.

Reg. No. 28,383

FAY, SHARPE, BEALL, FAGAN, MINNICH & McKEE

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Thomas E. Kocovsky, Jr. (216) 861-5582

	de l'unique ou premier inventeur  Adrien (nmi) GALET	
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102 01110		
Nom complet du second co-inventeur. le cas échéant _Full name of second joint inventor, if any  Bernard (nmi) MADEN		
Signature du	second inventeur Date July 24, 1998 ntor's signature	
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Adresse postale 2, Allee des Libellules, 01960 Peronnas, France		

## **Best Available Copy**

We hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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Cleveland, Ohio 44114

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first joint inventor: Adrien (nmi) GALET Inventor's signature

Date: Tule 24.

Residence: Montap**y**an

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Full name of second joint inventor: Bernard (nmi) MADEN

Inventor's signature
Date: July 24 199

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